

SUMMARY OF TRACKER AND ETHICAL FUNDS STATISTICS 1999-2009



PERIOD	TOTAL FUNDS UNDER MANAGEMENT £mn				GROSS RETAIL SALES £mn				NET RETAILSALES £mn		NUMBER OF FUNDS		TRACKER GROSS RETAIL SALES BY DISTRIBUTION CHANNEL £mn				
	Tracker	% of total	Ethical	% of total	Tracker	% of total	Ethical	% of total	Tracker	Ethical	Tracker	Ethical	Total	Direct	Intermediary	Salesforce / Tied Agents	Private client
1999	12,344.5	4.9%	2,021.3	0.8%	1,957.9	6.5%	195.6	0.6%	1,183.9	95.6	70	33	1,957.9	1,181.3	545.6	223.3	7.7
2000	13,629.6	5.2%	2,529.4	1.0%	2,304.4	5.5%	433.7	1.0%	1,363.6	329.0	86	36	2,304.4	1,211.5	648.1	383.9	60.9
2001	12,945.5	5.5%	2,459.3	1.0%	1,994.9	6.3%	468.2	1.2%	1,010.3	349.4	80	42	1,994.9	1,027.5	616.4	293.4	57.6
2002	10,477.6	5.4%	2,237.2	1.1%	1,729.0	5.8%	452.9	1.3%	810.4	326.7	71	48	1,729.0	748.5	727.8	244.4	8.3
2003	13,657.1	5.7%	2,878.4	1.2%	1,459.3	5.8%	212.3	0.8%	555.8	50.1	64	44	1,459.3	542.5	613.3	300.4	3.0
2004	15,594.9	5.7%	3,390.8	1.2%	1,272.0	4.8%	225.8	0.8%	44.6	52.9	60	43	1,272.0	501.6	514.8	253.6	1.9
2005	21,320.5	5.7%	3,998.1	1.1%	1,321.5	3.3%	358.8	0.9%	-90.7	87.9	67	44	1,321.5	447.7	531.3	337.3	5.2
2006	25,582.3	5.8%	4,952.8	1.1%	1,602.1	2.8%	432.2	0.7%	-239.4	136.5	64	46	1,602.1	476.7	718.1	404.1	3.2
2007	27,059.6	5.4%	5,874.8	1.2%	1,675.5	2.5%	881.3	1.3%	-108.9	472.8	63	53	1,675.5	479.1	824.0	371.4	1.1
2008	19,786.3	5.1%	4,418.1	1.1%	1,830.4	2.9%	628.7	1.0%	305.5	152.4	63	53	1,830.4	484.6	1,123.2	222.6	0.0
2007 Q3	27,077.6	5.4%	5,772.2	1.2%	381.7	2.4%	217.1	1.4%	-30.0	135.5	63	51	381.7	101.6	201.0	79.1	0.0
Q4	27,059.6	5.4%	5,874.8	1.2%	425.4	2.5%	222.0	1.3%	-59.6	99.7	63	53	425.4	106.5	258.6	60.3	0.0
2008 Q1	24,483.6	5.3%	5,372.8	1.2%	431.8	2.7%	161.9	1.0%	62.2	27.6	61	53	431.8	105.8	270.2	55.8	0.0
Q2	23,753.8	5.2%	5,235.1	1.1%	462.4	2.7%	174.1	1.0%	-1.8	49.5	61	53	462.4	109.8	294.0	58.6	0.0
Q3	20,875.8	5.1%	4,764.9	1.2%	353.5	2.4%	133.5	0.9%	-34.3	20.5	63	53	353.5	84.2	215.8	53.5	0.0
Q4	19,786.3	5.1%	4,418.1	1.1%	582.7	3.9%	159.2	1.1%	279.4	54.8	63	53	582.7	184.8	343.2	54.7	0.0
2009 Q1	18,546.9	4.9%	4,060.0	1.1%	404.2	2.5%	125.0	0.7%	-31.6	45.9	67	53	404.2	112.1	242.8	49.3	0.0
Q2	21,483.0	5.1%	4,504.7	1.1%	492.7	2.6%	104.9	0.6%	258.9	-18.4	66	52	492.7	145.5	295.5	51.7	0.0
2008 Jun	23,753.8	5.2%	5,235.1	1.1%	115.1	2.3%	56.8	1.2%	-46.4	22.3	61	53	115.1	23.3	72.9	18.9	0.0
Jul	23,128.0	5.2%	5,143.4	1.1%	130.9	2.4%	50.9	0.9%	-0.7	1.6	62	53	130.9	30.0	81.4	19.6	0.0
Aug	24,115.7	5.2%	5,404.7	1.2%	95.3	2.3%	41.8	1.0%	-18.7	12.6	62	53	95.3	20.7	57.9	16.7	0.0
Sep	20,875.8	5.1%	4,764.9	1.2%	127.2	2.5%	40.8	0.8%	-14.9	6.3	63	53	127.2	33.5	76.5	17.2	0.0
Oct	18,159.4	4.9%	4,060.0	1.1%	186.6	3.6%	58.6	1.1%	6.8	9.9	63	53	186.6	63.1	106.3	17.2	0.0
Nov	18,379.3	5.0%	4,136.2	1.1%	217.0	4.5%	51.7	1.1%	144.4	17.8	63	53	217.0	62.4	132.9	21.6	0.0
Dec	19,786.3	5.1%	4,418.1	1.1%	179.1	3.4%	48.9	0.9%	128.2	27.1	63	53	179.1	59.3	104.0	15.9	0.0
2009 Jan	18,728.8	4.8%	4,217.3	1.1%	110.2	2.0%	52.4	0.9%	-124.0	26.1	67	53	110.2	27.6	65.4	17.2	0.0
Feb	17,990.0	4.9%	4,010.0	1.1%	142.3	2.9%	26.0	0.5%	64.9	6.2	67	53	142.3	33.8	94.7	13.7	0.0
Mar	18,546.9	4.9%	4,060.0	1.1%	151.6	2.5%	46.6	0.8%	27.4	13.6	67	53	151.6	50.6	82.6	18.4	0.0
Apr	20,770.8	5.1%	4,486.2	1.1%	160.1	2.7%	39.9	0.7%	82.8	13.8	67	53	160.1	49.9	92.3	17.8	0.0
May	21,730.7	5.1%	4,547.0	1.1%	183.2	2.8%	32.4	0.5%	99.6	8.1	67	53	183.2	58.7	107.9	16.6	0.0
Jun	21,483.0	5.1%	4,504.7	1.1%	149.4	2.3%	32.6	0.5%	76.4	-40.3	66	52	149.4	36.9	95.3	17.3	0.0

Note - Each month small revisions to figures may have been made since previous press release. This reflects additional information received.

Ethical funds, also known as Socially Responsible Investments (SRIs), are funds which aim to avoid companies involved in activities believed to be harmful, such as tobacco production or child labour. Some funds also aim to actively invest in companies which promote ethical policies such as recycling.

Tracker funds aim to mirror the progress of a stock market index, e.g. the FTSE 100, by buying and selling shares in the same proportions as represented on the index. These are also sometimes called index (tracker) funds or passive managed funds.